



J1011

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No.	SP	Total Pages	2
First Named Inventor or Application Identifier: Ronald L. Stewart			
Title : Thin Sheet Mirror And Nd <sub>2</sub> O <sub>3</sub> Doped Glass			
Express Mail Label No.	EV041470145US		

**CERTIFICATE OF EXPRESS MAIL UNDER 37 CFR 1.10:**  
 I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is Addressed to the Commissioner of Patents and Trademarks, Washington, DC 20231

on December 13, 2001  
 (Date)

Signature Colleen E. Doherty  
 Colleen E. Doherty

"EXPRESS MAIL" Mailing Label No EV041470145US

Assistant Commissioner for Patents  
 Box Patent Application  
 Washington, DC 20231

JC9710  
S 6840  
12/13/01

1.  \* Fee Transmittal Form  
 (Submit an original and a duplicate for fee processing)

[Total Pages

11

2.  Specification  
 (preferred arrangement set forth below)

- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R&D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

3.  Drawing(s) (35 U.S.C. § 113) [Total Sheets

2

Oath or Declaration [Total Pages

2

4. a.  Executed (original or copy)

b.  Copy from a prior application (37 C.F.R. § 1.63(d))  
 (for continuation/divisional with Box 16 completed)

i.  DELETION OF INVENTOR(S)

Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

5.  Microfiche Computer Program (Appendix)

6. Nucleotide and/or Amino Acid Sequence Submission  
 (if applicable, all necessary)

- a.  Computer Readable Copy
- b.  Paper Copy (identical to computer copy)
- c.  Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

7.  Assignment Papers (cover sheet & document(s))

8.  37 C.F.R. § 3.73(b) Statement  Power of Attorney  
 (when there is an assignee)

9.  English Translation Document (if applicable)

10.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations

11.  Preliminary Amendment

12.  Return Receipt Postcard (MPEP 503)  
 (should be specifically itemized)

13.  Certified Copy of Priority Document(s) 15.  Other:  
 (if foreign priority is claimed)

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

Continuation  Divisional.  Continuation-in-part (CIP) of prior application No

Prior application information: Examiner: To Be Assigned Group / Art Unit:

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b,

is considered as being part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**17. CORRESPONDENCE ADDRESS**

Customer Number or Bar Code Label

22928

or  Correspondence address below

NAME	Timothy M. Schaeberle			
ADDRESS	Corning Incorporated, SP-TI-3-1			
CITY	Corning	STATE	NY	ZIP CODE
COUNTRY	USA	TELEPHONE	607-974-3164	FAX (607) 974-3848
Name (Print/Type)	Timothy M. Schaeberle	Registration No. (Attorney/Agent) 34,424		
Signature	U	Date	12/13/01	

**FEE TRANSMISSION  
for FY 2001**

**TOTAL AMOUNT OF PAYMENT (\$)** 740.00

**METHOD OF PAYMENT (check one)**

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number

03-3325

Deposit Account Name

Corning Incorporated

Charge Any Additional Fees Required  
Under 37 C.F.R. §§ 1.16 and 1.17

2.  Payment Enclosed:

Check  Money Order  Other

**FEE CALCULATION**

**1. BASIC FILING FEE**

Large Entity	Fee	Fee Description	Fee Paid
101	740	Utility filing fee	<u>740.00</u>
106	330	Design filing fee	
107	510	Plant filing fee	
108	740	Reissue filing fee	
114	160	Provisional filing fee	
<b>SUBTOTAL (1)</b>			<b>(\$)</b> <u>740.00</u>

**2. EXTRA CLAIM FEES**

Total Claims	Extra Fee from Claims below	Fee Paid
11 - 20** = 0	x 18 =	00.00
Independent Claims	2 - 3** = 0	x 84 = 00.00
Multiple Dependent	0	= 0.00
*or number previously paid, if greater; For Reissues, see below		
Large Entity	Fee	Fee Description
103	18	Claims in excess of 20
102	84	Independent claims in excess of 3
104	280	Multiple dependent claim, if not paid
109	84	** Reissue independent claims over original patent
110	18	** Reissue claims in excess of 20 and over original patent
<b>SUBTOTAL (2)</b>		
<b>(\$)</b> <u>00.00</u>		

Comments if Known	
Application Number	To Be Assigned
Filing Date	Herewith/Herewith
First Named Inventor	Stewart, Ronald
Examiner Name	To Be Assigned
Group / Art Unit	To Be Assigned
Attorney Docket Number	SP00-361

**FEE CALCULATION (continued)**

**3. ADDITIONAL FEES**

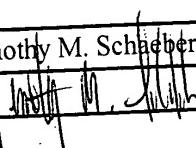
Large Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
	105	130	Surcharge - late filing fee or oath	
	127	50	Surcharge - late provisional filing fee or cover sheet	
	139	130	Non-English specification	
	147	2,520	For filing a request for reexamination	
	112	920*	Requesting publication of SIR prior to Examiner action	
	113	1,840	Requesting publication of SIR after Examiner action	
	115	110	Extension for reply within first month	
	116	390	Extension for reply within second month	
	117	890	Extension for reply within third month	
	118	1,390	Extension for reply within fourth month	
	128	1,890	Extension for reply within fifth month	
	119	310	Notice of Appeal	
	120	310	Filing a brief in support of an appeal	
	121	270	Request for oral hearing	
	138	1,510	Petition to institute a public use proceeding	
	140	110	Petition to revive - unavoidable	
	141	1,240	Petition to revive - unintentional	
	142	1,240	Utility issue fee (or reissue)	
	143	440	Design issue fee	
	144	600	Plant issue fee	
	122	130	Petitions to the Commissioner	
	123	50	Petitions related to provisional applications	
	126	240	Submission of Information Disclosure Stmt	
	581	40	Recording each patent assignment per property (times number of properties) _____ x	
	146	710	Filing a submission after final rejection (37 C.F.R. § 1.129(a))	
	149	710	For each additional invention to be examined (37 C.F.R. § 1.129(b))	
Other fee (specify) _____				
Other fee (specify) _____				
*Reduced by Basic Filing Fee Paid			<b>SUBTOTAL (3)</b>	<b>(\$)</b>

**SUBMITTED BY**

**Completed (if applicable)**

Name (Print/Type) Timothy M. Schaeberle

Registration No. (Attorney/Agent) 34,424

Signature 

Date 5/17/01